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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER NRNZ-01048US1 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unassigned 10/549951
INTERNATIONAL APPLICATION NO. PCT/US2004/008108	INTERNATIONAL FILING DATE 16 March 2004	PRIORITY DATE CLAIMED 20 March 2003
TITLE OF INVENTION NEUROPROTECTIVE MACROCYCLIC COMPOUNDS AND METHODS FOR THEIR USE		
APPLICANT(S) FOR DO/EO/US HARRIS, Paul, William, Richard and BRIMBLE, Margaret, Anne		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input checked="" type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

JC17 Rec'd PCT/PTO 20 SEP 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; position: absolute; top: 0; left: 0;">10/549951</div> Unassigned		INTERNATIONAL APPLICATION NO. PCT/US2004/008108		ATTORNEY'S DOCKET NUMBER NRNZ-01048US1					
20. Other items or information: Express Mail Label No.: EV 385 255 934 US mailed 20 September 2005; Copy of the International Search Report and Written Opinion of the International Searching Authority; PCT Forms – PCT/IB/304 and PCT/IB/308 (two sheets); and Return Receipt Postcard									
The following fees have been submitted				<table style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%; text-align: left;">CALCULATIONS</th> <th style="width:50%; text-align: left;">PTO USE ONLY</th> </tr> </table>		CALCULATIONS	PTO USE ONLY		
CALCULATIONS	PTO USE ONLY								
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$ 300.00</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$ 300.00			
\$ 300.00									
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$0.00</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$0.00			
\$0.00									
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations..... \$200				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;"></td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 All other situations..... \$500				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$ 0.00</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$ 0.00			
\$ 0.00									
TOTAL OF 21, 22 and 23 =				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$ 300.00</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$ 300.00			
\$ 300.00									
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;"></td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE						
- 100 =	/50 =		x \$250	<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$			
\$									
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$			
\$									
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total claims	- 20 =		x \$ 50	<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$			
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Independent claims	- 3 =		x \$200	<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$			
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MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$			
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TOTAL OF ABOVE CALCULATIONS =				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$ 300.00</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$ 300.00			
\$ 300.00									
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$ 150.00</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$ 150.00			
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SUBTOTAL =				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$ 150.00</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$ 150.00			
\$ 150.00									
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$			
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TOTAL NATIONAL FEE =				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$ 150.00</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$ 150.00			
\$ 150.00									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$			
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TOTAL FEES ENCLOSED =				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">\$ 150.00</td> <td style="width:50%; border-bottom: 1px solid black;"></td> </tr> </table>		\$ 150.00			
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				<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; border-bottom: 1px solid black;">Amount to be refunded:</td> <td style="width:50%; border-bottom: 1px solid black;">\$</td> </tr> <tr> <td style="width:50%; border-bottom: 1px solid black;">Amount to be charged:</td> <td style="width:50%; border-bottom: 1px solid black;">\$</td> </tr> </table>		Amount to be refunded:	\$	Amount to be charged:	\$
Amount to be refunded:	\$								
Amount to be charged:	\$								